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# **The Increasing Use of Interesterified Lipids in the Food Supply and the Impact on Health Parameters<sup>1,2,3</sup>**

**Running title:** Interesterified Fatty Acids and Health

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<sup>3</sup>Abbreviations: FA, fatty acid; FVII, factor VII; GLP, glucagon-like peptide; iAUC, incremental area under the curve; LCFA, long-chain fatty acid; MCFA, medium-chain fatty acid; MCT, medium-chain triglyceride; PHO, partially hydrogenated oil; TFA, *trans*-fatty acid; UFA, unsaturated fatty acid.

## ABSTRACT

A variety of modified fats that provide different functionalities are utilized in processed foods to optimize product characteristics and nutrient composition. Partial hydrogenation results in the formation of *trans*-fatty acids (TFAs) and was one of the most widely used modification processes of fats and oils. However, the negative effects of commercially produced TFAs on serum lipoproteins and risk for cardiovascular disease resulted in the Institute of Medicine and the 2010 US Dietary Guidelines for Americans both recommending that TFA intake be as low as possible. After its tentative 2013 determination that use of partially hydrogenated oils (PHOs) is not generally regarded as safe, the FDA released its final determination of the same in 2015. Many food technologists have turned to interesterified fat as a replacement. Interesterification rearranges fatty acids within and between a triglyceride molecule using either a chemical catalyst or an enzyme. Although there is clear utility of interesterified fats for retaining functional properties of food, the nutrition and health implications of long-term interesterified fat consumption are less well understood. The ILSI North America Technical Committee on Dietary Lipids sponsored a workshop to discuss the health effects of interesterified fats, identify research needs, and outline considerations for the design of future studies. Consensus was that although interesterified fat production is a feasible and economically viable solution for replacing dietary TFAs, outstanding questions must be answered regarding the effects of interesterification on modifying certain aspects of lipid and glucose metabolism, inflammatory responses, hemostatic parameters, and satiety.

## 22 INTRODUCTION

23 A variety of modified fats that provide different functionalities are utilized in processed foods to  
24 optimize product characteristics and nutrient composition. Melting behavior, solid fat content,  
25 and fat crystal network are important factors in creating shortenings and margarines, whereas  
26 oxidative stability is important for frying oil. The desired property of lipids in foods may be  
27 achieved by blending, fractionation, hydrogenation, interesterification, or genetic modification.

28 Until recently, partial hydrogenation was one of the most widely used modification processes of  
29 fats and oils. This process uses hydrogen to reduce the level of polyunsaturated fatty acids  
30 (PUFAs) while forming positional and geometric fatty acid (FA) isomers, including *trans*-fatty  
31 acids (TFAs), and saturated fatty acids (SFAs) to impart solid function to fats for shortenings  
32 and, in some cases, for stability to oils used for deep frying (1). Compared with unsaturated oils,  
33 this reduction in PUFAs to modify function also extends the shelf-life for packaged foods. With  
34 widespread use as an animal fat replacement in foods, partially hydrogenated oils (PHOs)  
35 became the prime source of TFAs in the diet. Industrially produced TFAs are unsaturated fatty  
36 acids (UFAs) with at least one carbon-carbon double bond in the *trans* configuration. In humans,  
37 plants, and most mammals, endogenously synthesized UFAs have double bonds that are  
38 overwhelmingly in the *cis* configuration. Only ruminants produce small amounts of TFAs in  
39 their rumen (2). Previous studies have described the negative effects of industrially produced  
40 TFAs on lipoproteins and cardiovascular disease risk (3). Beginning in 2006, the FDA required  
41 declaration of TFA content on nutrition labels (4). Both the Institute of Medicine and the 2010  
42 US Dietary Guidelines for Americans (5) recommended that TFA intake be kept as low as  
43 possible. After tentatively determining in 2013 that the use of PHOs is not generally regarded as  
44 safe (6), the FDA released its final determination of the same in 2015 (7). Food manufacturers

will have a 3-y compliance period to either reformulate their products without PHOs or petition the agency for permission for specific uses (7).

Whereas nutritionists focus primarily on the health effects of specific FAs, food technologists tend to focus on product and ingredient functionality while also keeping health effects in mind. TG composition is an important indicator of the functionality of fats. In the search for alternative fats and oils to replace TFA, food technologists have turned to a process called interesterification (also known as the randomization of fats), which has been used in the edible oils industry for decades. Interesterification rearranges the FAs within and between a TG molecule using a chemical catalyst or enzyme. The chemical interesterification process is a random modification tool, whereas enzymatic interesterification can be either random or stereospecific. Depending on the starting fat and/or oils, more SFAs or UFAs can be exchanged into the sn-2 position, changing the original TG structure. This exchange is one way to modify the melting point of the fat without changing its FA composition while providing similar functional qualities as PHOs, without introducing TFAs.

The creation of interesterified fats, also referred to as structured lipids, can also involve the replacement of naturally occurring long-chain FAs at the sn-1 and sn-3 positions with medium-chain fatty acids (MCFAs), such as caprylic acid (8:0) or capric acid (10:0), to form medium-chain triglycerides (MCTs), or with long-chain omega-3 FAs (DHA, EPA, and docosapentaenoic acid), long-chain omega-6 FAs (arachidonic acid), or long-chain SFAs (stearic acid), or other “atypical” FAs to produce novel fats. For example, MCTs are easily hydrolyzed, readily absorbed, and directly metabolized for energy, rather than accumulated as depot fat. This group of structured lipids imparts special nutritional or pharmaceutical properties and has historically been used for patients with abnormalities in fat digestion (8). MCTs have also been suggested to

facilitate weight loss (9).

Although the utility of interesterified fats for retaining functional properties of food is clear, the health implications of long-term consumption are less well understood. Based on current understanding from the majority of published studies, it was previously assumed that the triglyceride structure would have little effect on lipid digestion, absorption, and metabolism in adults (10–12). However, limited research suggests that manipulating the natural position of specific FAs on the glycerol backbone may negatively affect lipoprotein metabolism, glycemic control, immune function, and serum liver enzymes (1, 13–16).

The North American Branch of the International Life Sciences Institute’s Technical Committee on Dietary Lipids sponsored a workshop in Washington, DC, in 2012, to review concerns about the effects of interesterified fats on health. This workshop convened representatives from industry, government, professional associations, and academia to better understand the potential acute and chronic health effects of interesterified fats, identify research needs, and outline considerations for the design of future studies. This review summarizes the workshop findings and recommendations.

## **CURRENT STATUS OF KNOWLEDGE**

### **Food science of interesterified fats**

Chemical esterification and enzymatic esterification are essential for modifying the physical properties of oils and fats and are utilized extensively in the synthesis of TGs for use as shortenings for baked goods, human milk-fat substitutes, omega-3 FA-enriched TGs, modified digestibility fats, and confectionery fat substitutes. For several decades, interesterified fats have

89 been added in limited amounts as hard stock for products such as margarine. The  
90 interesterification reaction can be chemical or enzymatic and is usually carried out between a  
91 high-melting-point fat (fully hydrogenated vegetable oil or palm oil fraction) and a liquid oil,  
92 leading to a FA exchange within and between TGs and resulting in the formation of new TG  
93 molecules with unique properties (desirable plasticity, texture, and mouthfeel). Chemical  
94 interesterification is a random reaction, whereas enzymatic interesterification can be specific or  
95 random, depending on the selected lipase enzymes. Sodium methoxide is generally used as a  
96 catalyst in chemical interesterification. Some commercially available lipase sources used for  
97 food processing include *Candidacylindracea*, *Rhizomucor miehei*, *Mucor miehei*, and  
98 *Penicillium roquefortii*. The newly formed TG molecules have chemical and physical properties  
99 that fall between those of the initial starting materials. Because interesterification does not  
100 change the FA composition of the oil, the changes can only be analytically detected by a TG  
101 structural analysis.

102 Chemical interesterification has been used since the 1940s to modify the crystallization behavior  
103 of lard. This process has disadvantages, such as high oil losses and low oxidative stability of the  
104 finished product (17). Enzymatic interesterification is preferable to chemical interesterification  
105 because it does not require chemical catalysts, can be carried out at relatively low temperatures,  
106 results in less neutral oil loss and industrial effluent, and preserves the oxidative quality of the  
107 interesterified oil. However, enzymatic interesterification also has disadvantages such as higher  
108 equipment, operating, and catalyst costs (lipase compared with sodium methoxide in chemical  
109 esterification).. Nonetheless, enzymatic interesterification has replaced chemical  
110 interesterification as the method of choice in North America, especially for formulation of low-  
111 TFA or TFA-free margarines and shortenings (18).



## **Estimates of interesterified fats in the diet**

The level of intake of interesterified fats in the US population is unclear due to a lack of data on the content of interesterified fats in individual foods. Accurately estimating intake would require input from food companies or extensive analytical work, neither of which is currently available. However, changes in FA intake reported in national food intake surveys can provide some insight into intake of interesterified fats. As SFAs are incorporated into interesterified fats, intakes of palmitic and stearic acids would likely increase. In support of this, data from 2001–2008 NHANES What We Eat in America suggest a shift in FA intake, including a slight increase in both palmitic and stearic acids (19–22), whereas data from 2003–2006 indicate a decrease in TFA intake (23).

A modeling exercise was performed to predict FA intake subsequent to the replacement of TFA-containing oils in frying processes, with oils containing fewer PUFAs (e.g., low-linolenic, mid-oleic, and low-linolenic soybean oil), and replacement of TFA-containing oils in foods such as baked goods, popcorn, shortening, and stick and tub margarines (with palm-based oils or an interesterified fat made with fully hydrogenated soybean oil) (24, 25). This exercise identified 25 food categories, representing 86% of total soybean oil intake and 79% of total TFA intake from NHANES 1999–2002 intake data. Twelve food categories were identified as those for which TFA-containing oils would most likely be replaced with palm oil (some of which may be interesterified) and an interesterified fat made with fully hydrogenated soybean oil. TFA intake from these 12 categories comprised approximately 50% of total TFA intake, with cakes, cookies, and other baked goods being the predominant dietary source of TFAs in the American diet (Fig. 1). Based on the replacement of palm-based oils or an interesterified fat made with fully hydrogenated soybean oils in these 12 food categories (25), the predicted mean increases in the

intake of palmitic and stearic acids ranged from 1.0% to 2.0% and 0.5% to 1.5% of energy, respectively, for the mean intake of the third quintile, and 1.9% to 4.8% of energy and 0.9% to 3.8% of energy, respectively, for the mean intake of the fifth quintile. The amount of total palmitic and stearic acid that currently comes from interesterified fats is unknown. However, according to the modeling exercise, if all palm oil–based products were interesterified with palmitic or stearic acid, the upper limit (the mean of the fifth quintile) of interesterified FA intake would be 4.8% of energy with a mean intake of approximately 3.0% of energy.

In many of the intervention studies investigating the metabolic effect of interesterified fats as will be discussed in the next paragraphs, the interesterified fat content was well above current intakes. Based on the modeling exercise, the amount of interesterified fat (primarily containing stearic or palmitic acids) in clinical studies thus appears to be at least almost twice the amount of interesterified fat that would be consumed at the mean of the fifth quintile of intake. This raises the questions of whether the observed effects in studies are clinically relevant for most of the US population, and whether there are enough data on the effects of interesterified fats at projected typical intakes.

If the goal of intervention trials is to cover the average intake levels of the population, then the third quintile of intake is a reasonable target. However, if the goal is to represent an intake that will cover most of the population, then the fifth quintile might be a better level of intervention. Both levels are significantly less than the amounts used in most intervention studies, some of which suggest that higher intakes can be problematic. It was previously suggested that the interesterified test fat must provide at least 50% of the total fat in the typical diet with 20%–40% total energy from fat to detect any possible adverse effects (14). Although understanding the effects at higher-than-usual intake levels has value, one must ensure that the data are not

misleading, particularly if such levels are not feasible in the food supply. Trials are needed in which interesterified fats are fed at intervals from 0% to 9% to elucidate their effects at predicted typical mean intakes as well as at twice the fifth quintile mean intakes. Such studies would realistically address safety concerns and would help determine whether a threshold exists above which adverse effects could occur.

### **Digestion, absorption, and postprandial metabolism of interesterified fats**

The available data indicate that in healthy subjects there is minimal difference in 24-h FA absorption between TG mixtures and interesterified fats of the same composition. While preferences of the different lipases for FA chain length and saturation can be identified in vitro, the complex system in vivo is difficult to mimic and the overabundance of lipase activity masks these preferences. The exceptions may be saturated long-chain fatty acids (LCFAs) (16:0, 18:0), which may form FA-calcium soaps and are excreted to a greater degree when in the sn-1(3) position but are better absorbed from the sn-2 position because the monoglyceride is efficiently taken up by enterocytes (26). This has been clearly seen in animal studies, although human data are equivocal (12).

A number of animal studies have compared the digestion and absorption of FAs from physical blends of MCFAs and LCFAs vs. interesterified TGs derived from the same mixture. Although TG lipolysis and the amount of FAs absorbed are not measurably different between TG mixtures vs. interesterified fats (except as the modifications affect solubility in mixed micelles), several investigations have shown differing rates of absorption and different lymphatic transport of FAs from interesterified lipids compared with an equivalent mixture of native oils. The position of specific FAs on the glycerol backbone seems to affect the rate of appearance and the route of

absorption in these studies. For example, MCFAs are normally transported primarily via the portal circulation; however, when they are primarily present in the sn-2 position by interesterification, a greater proportion is transported as lymph chylomicrons because they are absorbed as monoacylglycerol, which is re-esterified to TGs by enterocytes. In animal studies, both LCFAs and MCFAs are more rapidly transported into lymph from the sn-2 position than the sn-1(3) position (27–29). As a result, interesterified fats may provide a tool to increase absorption of specific FAs in clinical conditions such as malabsorption (30). In addition, greater lymphatic transport of MCFAs in interesterified fats results in better delivery to peripheral tissues of this readily utilized, high energy source, which otherwise is predominately transported to the liver through portal circulation. This is supported by both animal models (31) and clinical studies (32) that suggest interesterified fats are useful for delivering MCFAs to peripheral tissues for energy utilization. However, there is a lack of thorough, direct analysis of the acute postprandial tissue fate of lipids from interesterified compared with unmodified TGs. For example, there is little information regarding the effects of structured lipids on chylomicron size or lipoprotein composition.

By using interesterified fat, it is possible to improve FA absorption in the lymph of rats following ischemia and reperfusion injury (29). Absorption of fat-soluble vitamins (33) in rat models of malabsorption and bioavailability of lipophilic drugs (34) in dog models have been shown to be enhanced by delivery with certain interesterified fats. Data are mixed regarding targeting of specific FAs with interesterified fats vs. mixtures. Together, these studies suggest that in patients with high physical stress, such as burns or surgical trauma, interesterified fats could be beneficial by diminishing nitrogen wasting and organ damage (31, 32).

202 Incorporation of DHA and EPA into brain phospholipids of rat dams or pups was not affected by  
203 the dietary lipid structure (35). However, EPA (but not DHA) delivery to splenocytes increased  
204 when it replaced all 18:3 FAs in the sn-2 position of TGs (36). Studies of mixed vs.  
205 interesterified TGs used in parenteral feeding to dogs revealed no differences in the FA  
206 composition of tissue fat (37).

## 207 **Postprandial effects of interesterified fats**

208 Whereas many clinical studies have measured the effects of dietary fats in the fasting state,  
209 humans spend most waking hours in the postprandial state. In fact, increased fasting lipoprotein  
210 and glucose concentrations as well as disturbed postprandial TG and glucose metabolism are all  
211 important risk markers for metabolic diseases (38). The postprandial period, when TGs are most  
212 elevated (3–6 h), also influences the risk of thrombosis and is considered to be a period of high  
213 risk for metabolic disease and cardiovascular events (36, 39–41). Elevated coagulation activation  
214 factors, such as factor VII (FVII), in the postprandial period may temporarily increase the risk of  
215 severe thrombotic events (42).

216 Although postprandial data raise interesting questions related to health outcomes, they are best  
217 considered within the context of more conventional fasting data after a period of fat intervention,  
218 when a new steady-state situation is reached. Dietary fat impacts tissue phospholipid  
219 composition, particularly muscle membranes, which can affect insulin sensitivity and glucose  
220 uptake, or cell signals affecting insulin secretion (43–45). Therefore, changes in blood glucose  
221 and insulin levels should theoretically reflect the effect of interesterified fats with sn-2-SFAs  
222 such as those incorporated into phospholipids. The importance of the sn-position of a FA is  
223 further underlined by a recent trial of Kew et al. (36). Although it was not a postprandial study,

their results showed that dietary EPA increased the phagocytic capacity of activated monocytes and neutrophils when supplied in the sn-2 position, but not in the sn-1(3) position, possibly as a result of changes to membrane phospholipids of splenocytes.

TG structure and FA composition may be important in affecting the FA destination in the postprandial period. There are a limited number of studies directly comparing postprandial effects of interesterified fats with native fats having identical FA composition. Of the existing studies, almost one-half included a small number of subjects ( $\leq 16$ ) and subjects in the smaller studies were mostly healthy, young, and predominately women. However, postprandial studies are fraught with design problems such as sex selection, the amount and kind of test fat incorporated into a meal, the total nutrient composition of the test meal, the length of time the postabsorptive process is followed, and the use of subjects who have not acclimated to the fat being tested. Because each of these factors can affect the postprandial response parameters, it is imperative that design standards be established to more accurately observe the effect of a single fat in a single meal. The lack of a standardized test meal protocol among some studies makes direct comparisons difficult. However, studies conducted by Berry et al. (12, 49) and Sanders et al. (46–48) consistently used the same protocol and 50 g of test fat.

### ***Postprandial inflammation***

Interest in the postprandial inflammatory response has greatly increased in recent years because of its association with diabetes and metabolic disease. Postprandial findings are consistent with the theory that inflammatory responses are exacerbated by meals rich in fat. Decreasing this acute effect in the postprandial plasma compartment may protect against chronic inflammation of adipose and other tissues, which can predispose patients to insulin resistance and diabetes.

Results of several in vitro and animal studies suggest that dietary FA composition and availability can modulate inflammatory responsiveness (39, 40, 50). The differing availability of saturated LCFAs from interesterified fats vs. unmodified TGs has the potential to change postprandial inflammation, because palmitate and stearate are known to induce various inflammatory responses in vitro (40).

An in vitro experiment with fasting whole blood from healthy individuals fed specific fat blends showed that the addition of unmodified LCFAs plus an MCFA-TG blend (i.e., soybean plus coconut oil) greatly increased activation and degranulation of monocytes and neutrophils, compared with the addition of interesterified TGs of similar FA composition (39). The in vivo relevance for humans is not currently known. Leukocyte activation markers were reduced in vivo when rats received parenteral MCFAs as structured TGs vs. unmodified TGs after gastrectomy surgery (50). However, monocyte chemotactic protein-1 and macrophage inflammatory protein-2 levels in peritoneal lavage fluid were elevated by consumption of the structured TG, leaving the relative risk or benefit open to discussion. In addition to MCFA effects, the differing availability of saturated LCFAs from interesterified fats vs. unmodified TGs also has the potential to change postprandial inflammation, because palmitate and stearate are known to induce various inflammatory responses in vitro (40).

### ***Postprandial lipemia and factor VII***

In a randomized crossover study of 11 healthy men and 5 healthy women, the postprandial effects of five high-fat meals (90 g) enriched with MCFAs, such as MCTs (8:0 + 10:0), or fats rich in palmitate (16:0), stearate (18:0), elaidate (18:1 *trans*), and oleate (18:1 *cis*) were compared with a low-fat meal (51). A slower increase in postprandial plasma TGs and a lower

area under the curve were found for stearate and MCTs compared with oleate, elaidate, and palmitate-rich fats (Fig. 2). The increase in FVIIa at 7 h was greater after the oleate meal than after the stearate and MCT meals. The authors concluded that dietary stearate-rich and MCT fats were not responsible for the postprandial increases in FVII associated with the high-fat meals.

In another study of 16 healthy men, the effects of six matching high-fat meals (1 g fat/kg body wt; 43% from the test FA) were examined following breakfast on 6 separate days (42). The fats were interesterified and were rich in stearic, palmitic, palmitic + myristic, oleic, *trans*-18:1, or linoleic acid, and their effects on the postprandial lipid and hemostatic profiles were investigated. Although all fats increased FVII activation, there was less increase with SFAs, especially the stearic acid-rich fat (randomly interesterified hydrogenated sunflower oil blended with unhydrogenated high-oleic sunflower oil) that corresponded with its reduced postprandial triglyceridemia in comparison with UFAs.

Because interesterification alters the composition of TGs and the position of the FA on the glycerol backbone, this can affect postprandial lipemia. In a randomized crossover study of 35 healthy middle-aged men (n=17) and women (n=18), Sanders et al. (46) compared a structured TG (SALATRIM, a synthetic stearic acid-rich TG interesterified with short-chain FA; Danisco Cultor, Ardsley, NY) with high-oleic sunflower oil and cocoa butter after a single meal. Markedly depressed postprandial triglyceridemia occurred with SALATRIM, which is known to be absorbed more slowly (13). The depressed postprandial lipemia was accompanied by decreased activation of FVII as measured by its coagulant activity or activated concentration. There were no effects on indices of fibrinolysis (plasminogen activator inhibitor type 1 or tissue plasminogen activator). The authors proposed that this was a consequence of SALATRIM's FA combination and randomness of the FA positional distribution, resulting in a unique asymmetric



291 TG structure (stearic acid combined with short-chain FAs). Some of the differences, however,  
292 may have been due to the high proportion of short-chain FAs.

293 In a randomized crossover study of 17 healthy men, the interesterification of cocoa butter  
294 decreased postprandial lipemia and FVII activation compared with native cocoa butter (47).  
295 Berry et al. (12) compared native and interesterified shea butter (high sn-1 3-stearic acid content)  
296 and found that both native and interesterified shea butter resulted in less postprandial lipemia and  
297 decreased FVII activation compared with high-oleic sunflower oil.

298 Sanders et al. (48) compared test meals containing 50 g of interesterified palm olein (liquid palm  
299 oil-enriched sn-2 [16:0] by interesterification) vs. an equal amount of native palm olein (sn-2  
300 [18:1, 18:2]) and 50 g of lard (sn-2 [16:0]), with an equal amount of high-oleic sunflower oil, in  
301 a two-center study in healthy men ( $n=25$ ) and women ( $n=25$ ). The authors hypothesized that  
302 high-fat meals rich in palmitic acid (16:0) in the sn-2 position would decrease lipemia. Sanders et  
303 al. (48) found a tendency for the initial postprandial increase in plasma TGs to be lower  
304 following consumption of the interesterified fat. Based on changes in postprandial apolipoprotein  
305 B-48 concentrations, there was no evidence indicating increased production of apolipoprotein B-  
306 48 or increased resistance to chylomicron remnant clearance following the interesterified fat.  
307 There were, however, sex differences in response to the test meals for plasma TGs. For example,  
308 the postprandial incremental area under the curve (iAUC) for TGs was 51% lower in women.

### 309 ***Postprandial glycemic control***

310 Assessing postprandial glycemic responses requires consideration of several parameters. For  
311 example, the influence of any dietary fat on glucose and insulin metabolism under experimental  
312 conditions may be influenced by study subject characteristics such as age, health status, and

genotype as well as the percentage of daily fat consumed, study length, and prior diet (52). As mentioned above, the interesterified test fat must provide at least 50% of the total fat in the typical diet with 20%–40% energy from fat in order to detect any adverse effects, because when only modestly challenged with lower levels of test fat, the body is able to compensate from UFA in adipose reserves over the short term (14).

Sundram et al. (1) studied 30 healthy men and women who were fed complete, whole-food diets during 4-wk periods in a crossover design in which total fat (approximately 31% daily energy, >70% from the test fats) and FA compositions were controlled (Fig. 3). One test fat, based on palm olein, provided 12% of energy as palmitic acid (16:0). A second test fat contained *trans*-rich partially hydrogenated soybean oil and provided 3.2% TFA, plus 6.5% energy as 16:0. The third test fat used an interesterified fat and provided 12.5% of energy as stearic acid. After 2 wk into each feeding period, an 8-h postprandial challenge was initiated in a subset of 19 subjects consuming a meal containing 53 g of each test fat. The glucose iAUC following the interesterified meal was 40% greater than after either of the other meals. However, it could also be that slower absorption of interesterified fat postprandially lowered incretin (glucagon-like peptide [GLP], GLP-1) production, leaving carbohydrates as the primary energy absorbed following the meal challenge and thus resulting in the observed glycemia. Future research is needed to explore both of these possibilities. In addition, unfavorable effects of stearic acid on postprandial glucose metabolism have not been confirmed by others (12). Furthermore, a later comparison of interesterified palm olein with native palm olein in 42 Malaysian men (n=10) and women (n=32) showed no difference in insulin secretion or postprandial glucose changes with chronic feeding (6 wk each treatment phase) at two-thirds of the dietary fat intake (53).

In two randomized crossover postprandial trials in 20 healthy men, Berry et al. (49) compared the effects of meals containing 50 g of fat as either interesterified super palm olein (sn-2-16:0, 38%; 18:1, 45%; and 18:2, 11%) or native super palm olein (double fractionated liquid palm oil with sn-2-16:0, 7%; 18:1, 17%; and 18:2, 19%) (study 1) and interesterified palm oil or high-oleic sunflower oil (study 2) on postprandial glucose and insulin levels. Although there was a tendency for postprandial plasma insulin to be lower and glucose to be higher with interesterified fats, the authors concluded that interesterified C16 fats did not differ significantly from naturally occurring C16 fats with regard to postprandial effects on glucose homeostasis.

Sanders et al. found no differences in postprandial glucose or insulin when they compared interesterified palm olein, native palm olein, lard, and high-oleic sunflower oils, although the authors observed significant sex differences (54). As seen with TGs, there were substantial sex differences in response. The increases in plasma insulin and C-peptide were greater and those of glucose were lower in women compared with men. However, plasma glucose-dependent insulinotropic polypeptide was significantly lower following the interesterified palm olein and lard meals compared with the native palm olein and high-oleic sunflower meals; there were no differences in response between genders. The postprandial response to glucose in women was relatively flat, suggesting faster removal of glucose from plasma, with an iAUC that was 51% lower than that observed in men. Interesterified C16 fats did not differ from naturally occurring C16 fats with regard to postprandial effects on glucose homeostasis (Fig. 4).

It is known that dietary lipid structure influences gut hormone release. MCTs, for example, suppress cholecystokinin release (55). However, there are no reports of how structured lipids might affect secretion of gut hormones that play important roles in insulin sensitivity and metabolic diseases (e.g., glucagon, insulin, GLP-1).

## 358 **Longer-term effects of interesterified fats on fasting parameters**

### 359 *Fasting serum lipids and lipoproteins*

360 Several studies have been carried out to examine the longer-term (i.e., 18-42 d) metabolic effects  
361 of interesterified fats. With a few noteworthy exceptions (14), most data suggest only limited  
362 differences in the longer-term effects of saturated LCFA from native TG vs. interesterified fats  
363 on fasting serum lipids and lipoproteins (56, 57). After a 3-wk intervention period, Zock et al.  
364 (57) found no major differences between the effects of palm oil and enzymatically interesterified  
365 palm oil (Betapol) on serum lipid and lipoprotein concentrations in a group of 60 healthy men  
366 (n=23) and women (n=37). Men showed a slight elevation in serum total cholesterol and LDL  
367 cholesterol with interesterified palm oil (sn-2) when they consumed diets enriched with either fat  
368 (28% of total energy; 38% energy from all fats) for 3 wk in a crossover design. However, in a  
369 study in which 20% energy from fat was exchanged, Filippou et al. (53) found no differences  
370 between native palm olein and interesterified palm olein but found that LDL cholesterol was  
371 10% higher on both interesterified and native palm olein compared to high-oleic sunflower oil  
372 when fed to 42 Malaysian men (n=10) and women (n=42) for 6 wk using a crossover design.

373 Nestel et al. (58) drew a similar conclusion from a double-blind crossover trial of 27  
374 hypercholesterolemic men consuming three margarines for 3-wk periods: (1) a high-linoleic acid,  
375 moderate TFA margarine; (2) a high-palm oil blend (predominantly lauric, myristic, palmitic,  
376 oleic, and linoleic acids, including fully hydrogenated palm kernel oil as hard stock); and (3) an  
377 interesterified form of the high-palm oil. Each diet was fed for 3 wk using a crossover design.

378 The study by Nestel et al. (58) in 27 hypercholesterolemic men showed that interesterification  
379 did not raise plasma cholesterol more than the high-palm oil margarine's constituent FA, but the

380 latter control fat was a novel stick margarine that contained as much sn-2-SFA as its  
381 interesterified version. Christophe et al. (59) also reported no effects on the serum lipoprotein  
382 profile in a 4-wk parallel study among 32 healthy men when the consumption of butter was  
383 compared with an enzymatically interesterified butter. This might be expected because the sn-2-  
384 SFA content of butterfat is so high that interesterification would not alter the sn-2-FA  
385 appreciably. On the basis of these studies, it was suggested that interesterification of 16:0-rich  
386 fats and oils does not adversely change fasting serum lipoprotein concentrations. However,  
387 evidence to the contrary exists from a trial in infants in which breast milk was compared with  
388 two formula fats (60): palm oil (sn-1,3-16:0) and enzymatic interesterified palm oil (Betapol; sn-  
389 2-16:0). The enzymatic interesterified palm oil resulted in an unbeneficial increased LDL  
390 cholesterol/HDL cholesterol ratio compared with palm oil, with unaltered total cholesterol.  
391 However, the increase observed with the interesterified formula was not as great as that seen  
392 with human milk. A similar significant, but less pronounced, shift in the LDL cholesterol/HDL  
393 cholesterol ratio was noted after the exchange in sn-2-18:1 from HOSO<sup>+</sup> canola oil in *trans*-18:1  
394 (partially hydrogenated vegetable oil) or interesterified-18:0 in 50 adult men in a carefully  
395 conducted crossover study with feeding periods of 6 wk (61).

396 Grande et al. (11) found in 32 middle-aged men that natural cocoa butter (rich in stearic acid)  
397 and imitation cocoa butter (interesterified fat with the FA composition of cocoa butter) when fed  
398 for 18 d had similar effects on serum total cholesterol. Effects on LDL cholesterol and HDL  
399 cholesterol were not reported at that time. Berry et al. (12) showed in 16 men comparable effects  
400 of stearic acid-rich native and interesterified shea butter on plasma total cholesterol and TG  
401 concentrations, but the test fats represented only one-third of the daily fat. In a 3-wk study with  
402 30 men and 30 women with relatively low intake of test fats, Meijer and Westrate (10) found no

403 evidence that interesterification of a margarine fat blend (36% coconut oil, 33% palm oil, 22%  
404 dry-fractionated palm oil-stearin, and 9% low-*trans* partially hydrogenated rapeseed oil) changed  
405 serum lipid concentrations when either was then blended as a 42:58 ratio with soybean oil,  
406 rendering the availability of sn-2 (18:2) extremely high for both margarines. Sundram et al. (1),  
407 like Nelson and Innis (60) with interesterified 16:0 and Judd et al. (62) with interesterified 18:0,  
408 found that a stearic acid-rich interesterified fat (12% of energy) increased LDL cholesterol,  
409 further suggesting that 18:0 may not be neutral when randomized to sn-2 or when it becomes a  
410 major SFA in the diet. Many of these details on interesterified fat and lipoproteins were  
411 previously reviewed by Hayes and Pronczuk (14).

#### 412 ***Fasting inflammatory and hemostatic markers***

413 Most research on the effects of consuming interesterified fats has focused on postprandial lipids  
414 and glucose metabolism and, to a lesser extent, on the chronic effects of interesterification on  
415 lipoprotein profiles. Few studies have reported effects on markers of hemostasis and coagulation,  
416 either postprandially or over the long term. To date, no studies have reported the effects of  
417 interesterified fat intake on fasting inflammatory markers.

418 Kelly et al. (63) conducted a randomized crossover study with 13 men to determine the effects of  
419 diets enriched in either palmitic acid or stearic acid on hemostatic markers. The stearic acid test  
420 fats used to alter FA composition of the diet were prepared by interesterification of 100%  
421 hydrogenated canola oil and high-oleic sunflower oil, whereas the palmitic acid test fat was  
422 prepared by interesterification of palm stearin, palm olein, and high-oleic sunflower oil. Diets  
423 were fed over 4 wk (30% of energy from fat; approximately 6.6% of energy as stearic acid in the  
424 stearic acid diet and approximately 7.8% of energy as palmitic acid in the palmitic acid diet).

425 Fibrinogen, plasminogen, antithrombin III, and activated partial thromboplastin time were not  
426 altered by diet. FVII (percent activity) decreased between baseline and the final day of the  
427 interesterified stearic acid diet, whereas it was not altered by the palmitic acid interesterified test  
428 diet. There were no differences in factor VIII between the two interesterified treatments at the  
429 end of the test period.

430 Meijer and Westrate (10) conducted a double-blind crossover study with 30 men and 30 women  
431 to determine the effects of random chemical interesterification on blood lipids, enzymes, and  
432 hemostasis parameters. A blend of commonly used edible vegetable fats was compared with the  
433 same fat blend after random chemical interesterification. Both fat blends were supplied at  
434 relatively low energy levels (4% and 8%). At either energy level, the two fat blends were  
435 consumed for 3-wk periods, without an intermediate washout period. Of the 30 parameters  
436 studied, no statistically significant differences between the two fat blends were found.

### 437 ***Fasting glycemic control***

438 Some evidence is available on the effects of interesterified fats on glycemic control. An  
439 Australian case cohort study of 3700 women (64) assessed serum phospholipid FAs and dietary  
440 fat in relationship to type 2 diabetes mellitus and found a 4-fold increase in diabetes risk for  
441 women with the highest 18:0 in their serum phospholipids, whereas the highest quintile for  
442 phospholipid 18:2 reduced the risk to approximately 20% of the amount of the lowest quintile of  
443 18:2. Although interesterified fat consumption was not available, most interesterified fats are  
444 interesterified with 18:0 FAs, which might alter the sn-2-FAs in phospholipids to favor stearic  
445 acid. In the above-described study by Sundram et al. (1), investigators found that fasting 4-wk  
446 insulin was 22% lower after consumption of the interesterified fat compared with the palm olein.

## CONCLUSIONS AND FUTURE DIRECTIONS

Interesterified fats have largely replaced PHOs in processed foods, and interest in and research on their longer-term effects on health continues to grow. The consensus among workshop participants was that interesterified fat production is a feasible and economically viable solution for replacing dietary TFAs. Functionality (melting point, oxidation stability mechanical strength, laminating ability, and shortening ability) is the limiting criterion for developing healthful interesterified fats that are commercially viable. However, a fat that performs well in food applications serves no practical purpose if it cannot readily be incorporated into affordable processed foods or if consumers will not accept it. This review summarizes the current available knowledge on many important issues related to interesterified fats, including the food science of interesterified fats, estimation of interesterified fats in the diet, lipid and glucose metabolism, inflammatory and postprandial responses, longer-term effects on fasting parameters, and inflammatory and hemostatic markers.

Although the above-described studies conducted on interesterified fats have not revealed any health issues, gaps in knowledge exist regarding the metabolic fate and potential health effects of longer-term consumption of interesterified fats. Outstanding questions must be answered regarding the effects of interesterification on modifying certain aspects of lipid and glucose metabolism, inflammatory responses, hemostatic parameters, and satiety. The workshop panel of experts concluded that the following areas warrant further investigation:

- Effects of structured lipids on chylomicron size or apolipoprotein composition, and metabolism.



- 468 • The fate of lipids from interesterified vs. physical blends of oils in mixed TGs,  
469 including the ability of sn-2-SFAs to incorporate in fasting HDL phospholipids and  
470 their impact on HDL-phospholipid structure and function.
- 471 • Effects of mixed vs. interesterified lipids on postprandial inflammatory markers in the  
472 intestine, plasma leukocytes, vascular endothelium, and adipose tissues, in light of  
473 their various roles in metabolic diseases.
- 474 • Fate of LCFAs from native vs. interesterified TG presentations by methods to  
475 determine whether there are acute postprandial differences in tissues, fate, and/or  
476 metabolism that have not been apparent until now.
- 477 • Whether interesterified lipids may have local effects influencing inflammation.
- 478 • Effects of interesterified MCFA/intermediate chain FAs (e.g., C12–C14).
- 479 • Direct comparisons of stearic-rich vs. palmitic-rich interesterified fats, with careful  
480 attention to absolute and relative abundance of the sn-2-FA, particularly 16:0, 18:0,  
481 18:1, and 18:2 over a range of percentage energy intakes.
- 482 • Differential effects of interesterified fats between normal weight, overweight, and  
483 obese subjects.
- 484 • Influence of higher-melting-point fats produced by interesterification on the secretion  
485 of gut peptides involved in hormonal signaling in the postprandial period that play  
486 roles in insulin sensitivity and metabolic diseases (GLP, GLP-1).

- 487 • Examination of the rate of gastric emptying after consuming fats with a high melt  
488 point, using ultrasound analysis of stomach volume, postprandially.
- 489 • Long-term effects of interesterified fats on markers of inflammation, hemostatic  
490 parameters, and satiety.

491 In addition, because clinical studies with interesterified fats have generally been conducted using  
492 intake levels that exceed the likely actual intake, future studies should carefully consider  
493 interesterified fat load in both study design and interpretation of the results. Addressing these  
494 research gaps can shed light on the longer-term effects of interesterification on human health.

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## FIGURE LEGENDS

**FIG. 1.** Potential food categories for interesterified fat applications and the contribution of these categories to total *trans*-fatty acid (TFA) intake. The light bars represent food categories that would likely not be replaced with a functional fat, the darker shaded bars represent food categories that would likely be replaced with a heat-stable oil, and the darkest shaded bars represent food categories that would likely be replaced with an interesterified fat. Data are from Lefevre et al. (25).

**FIG. 2.** Postprandial changes in plasma TG concentrations after consumption of six different test meals.. Eleven healthy men and 5 healthy women were given in random order a low-fat meal or five different high-fat meals (90 g) rich in medium-chain fatty acids (MCFA), palmitate (16:0), stearate (18:0), elaidate (18:1 *trans*), or oleate (18:1 *cis*). Values are given as means  $\pm$  SEMs. Data are from Sanders et al. (51).

**FIG. 3.** Individual fasting glucose values are depicted for 30 healthy men and women at study entry and after 4 wk on diets rich in test fats of palm olein (POL), partially hydrogenated soybean oil (PHSO), and interesterified fat based on fully hydrogenated soybean oil (IE). Participants consumed complete, whole-food diets during 4-wk periods in a crossover study design. Total fat comprised approximately 31% daily energy (>70% from the test fats). Compared with entry values, increases of 3%, 9%, and 22% were seen for the diets rich in palm olein, partially hydrogenated soybean oil, and interesterified fat based on fully hydrogenated soybean oil, respectively. Reproduced with permission from reference (1).

711 **FIG. 4.** Sex differences in postprandial glucose among 25 women and 25 men after high-fat  
712 meals rich in interesterified palm olein, native palm olein, lard, and high-oleic sunflower oils.  
713 Women (A) and men (B) were given in random order four different high-fat meals (50 g) rich in  
714 interesterified palm olein, palm olein, lard, or high-oleic acid sunflower oil. The postprandial  
715 response to glucose in women was relatively flat, which suggests faster removal of glucose from  
716 plasma. Values are geometric means with 95% confidence intervals. For changes from fasting  
717 for the meal  $\times$  times interaction,  $P=0.34$  for both genders combined,  $P=0.38$  for women, and  
718  $P=0.39$  for men. Data are from Filippou et al. (54).











